

FINANCIAL ADVISORY AND INTERMEDIARY SERVICES (FAIS) DISCLOSURE

Black Crown Holdings (Pty) Ltd

(t/a Black Crown Markets)

FSP No. 54549

1. Purpose of this Disclosure

This disclosure is provided in accordance with the Financial Advisory and Intermediary Services Act, 37 of 2002 ("FAIS Act") and the General Code of Conduct for Authorised Financial Services Providers and Representatives.

Its purpose is to provide clients and prospective clients with information regarding Black Crown Holdings (Pty) Ltd, our regulatory status, the financial services we provide, and your rights when dealing with us.

2. Financial Services Provider Details

Registered Name	Black Crown Holdings (Pty) Ltd
Trading Name	Black Crown Markets
Registration Number	2023/956545/07
Financial Services Provider Number	FSP 54549
Key Individual	Johan Joosten
Physical Address	4 Canal Close, Century Falls Road, Century City, Cape Town, 7441
Website	www.blackcrownmarkets.com
Email	compliance@blackcrownmarkets.com



4 Canal Close, 2 Century Falls
Road, Century City, 7441



Black Crown Holdings (Pty) Ltd
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3. Our Regulatory Authorisation

Black Crown Holdings (Pty) Ltd is authorised by the Financial Sector Conduct Authority ("FSCA") as a Category I Financial Services Provider.

Our licence authorises us to render intermediary services in relation to the financial products reflected on our FAIS licence.

Details of our licence and authorised financial product categories are available upon request or may be verified on the FSCA's public register.

4. Nature of our Financial Services

Black Crown provides authorised financial services within the scope of its FAIS licence.

Where applicable, Black Crown provides intermediary services relating to over-the-counter derivative products issued by licensed product suppliers.

Black Crown acts only within the scope of its regulatory authorisation and does not provide any financial service for which it is not licensed.

Unless expressly agreed otherwise in writing, Black Crown does not provide discretionary investment management services.

5. Representatives

Financial services are rendered only by representatives who are authorised, competent and supervised in accordance with the FAIS Act.

Information regarding our Key Individuals and authorised representatives is available upon request.

6. Fees, Commission and Remuneration

Black Crown may receive fees, commission or other remuneration as permitted by applicable legislation.



Where any fee, commission or remuneration is payable by a client, or received by Black Crown in relation to a financial service, the nature and amount of such remuneration will be disclosed where required by law before the relevant financial service is rendered.

7. Conflicts of Interest

Black Crown has adopted a Conflict of Interest Management Policy in accordance with the FAIS Act.

The purpose of this policy is to identify, manage and, where possible, avoid actual or potential conflicts of interest that may arise in the rendering of financial services.

A copy of the policy is available upon request.

8. Complaints

Should you wish to lodge a complaint regarding any financial service rendered by Black Crown, you may contact our Compliance Department using the details below.

We are committed to resolving complaints promptly, fairly and transparently in accordance with our Internal Complaints Resolution Process.

Compliance Department

Email: compliance@blackcrownmarkets.com

If your complaint is not resolved to your satisfaction, you may refer the matter to the appropriate ombud or regulatory authority where applicable.

9. Protection of Personal Information

Black Crown processes personal information in accordance with the Protection of Personal Information Act, 2013 ("POPIA").

Information regarding the collection, processing, storage and protection of personal information is contained in our Privacy Policy, which is available on this website.



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10. Important Information

Clients should ensure that they fully understand the nature of any financial product or financial service before making an investment decision.

Where financial advice is provided, it will be rendered only by an appropriately authorised representative.

Where no advice is provided, clients remain responsible for their own investment decisions and should consider obtaining independent financial, legal or tax advice where appropriate.

11. Regulatory Information

The Financial Sector Conduct Authority regulates authorised Financial Services Providers in South Africa.

Further information regarding licensed financial services providers is available from the Financial Sector Conduct Authority.

12. Contact Us

If you require additional information regarding our licence, financial services, governance framework or this disclosure, please contact:

Black Crown Holdings (Pty) Ltd

Compliance Department

Email: compliance@blackcrownmarkets.com

Website: www.blackcrownmarkets.com



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